

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Advanced Distributor Products LLC

Application No./Patent No.: 6,950,606 Filed/Issue Date: September 27, 2005

Titled: COMBINATION WATER HEATING AND SPACE HEATING APPARATUS AND CONTROL THEREOF

Advanced Distributor Products LLC, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
  2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
  3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015170, Frame 0309, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

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☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/David H. Hitt/

Signature

David H. Hitt

Printed or Typed Name

May 15, 2009

Date

Attorney for Applicant

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 24, 2004

PTAS



\*102713385A\*

LENNOX INTERNATIONAL INC.  
W. KIRK MCCORD  
2140 LAKE PARK BLVD.  
RICHARDSON, TX 75080-2254

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/02/2004

REEL/FRAME: 015170/0309

NUMBER OF PAGES: 12

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LOGAN, COLBY W.

DOC DATE: 12/17/2003

ASSIGNOR:

PORTERFIELD, DONALD E.

DOC DATE: 12/17/2003

ASSIGNOR:

HENSLEY, JERRY L

DOC DATE: 12/17/2003

ASSIGNOR:

PICKLE, JAMES W.

DOC DATE: 12/17/2003

ASSIGNEE:

ADVANCED DISTRIBUTOR PRODUCTS LLC  
1995 AIR INDUSTRIAL PARK ROAD  
GRENADA, MISSISSIPPI 38901

015170/0309 PAGE 2

SERIAL NUMBER: 10751548

FILING DATE: 01/05/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: AIR CONDITIONING APPARATUS WITH BLOWER AND ELECTRIC HEATER IN  
COMMON HOUSING

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1619A  
Expires 06/30/99  
OMB 0551-0027

04-06-2004



102713365

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

APR -2 PM 12:55

OPR/FINANCE

**RECORDATION FORM COVER SHEET**

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4.2.04

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

- ☒ New
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
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Reel #  Frame #

**Conveyance Type**

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government*  
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

- ☒ Mark if additional names of conveying parties attached
- Execution Date  
Month Day Year  
12/17/03

Name (line 1) Colby W. Logan

Name (line 2) Donald E. Porterfield

**Second Party**

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

**Receiving Party**

- ☐ Mark if additional names of receiving parties attached

Name (line 1) Advanced Distributor Products LLC

Name (line 2)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)

Address (line 1) 1995 Air Industrial Park Road

Address (line 2)

Address (line 3) Grenada

City

Mississippi/United States

State/Country

38901

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

04/06/2004 LMJELLER 00000006 10751548

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Correspondent Name and Address**

Area Code and Telephone Number 972-497-5196

Name W. Kirk McCord

Address (line 1) Intellectual Property Counsel

Address (line 2) Lennox International Inc.

Address (line 3) 2140 Lake Park Blvd.

Address (line 4) Richardson, Texas 75080-2254

**Pages**

Enter the total number of pages of the attached conveyance document  
including any attachments.

# 9

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

10/751,548

If this document is being filed together with a new Patent Application, enter the date the patent application was  
signed by the first named executing inventor.

Month Day Year  
          

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number  
has not been assigned.

PCT            PCT            PCT           

PCT            PCT            PCT           

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Enclosed ☒ Deposit Account ☐

Deposit Account Number:

#           

Authorization to charge additional fees:

Yes ☐ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document. Charges to deposit account are authorized, as  
indicated herein.

W. Kirk McCord

Name of Person Signing

W. Kirk McCord

Signature

3-29-04

Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

12/17/03

Name (line 1) Jerry L Hensley

Name (line 2) James W. Pickle

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



JOINT

Attorney Docket  
No. P0313

**ASSIGNMENT**

WHEREAS, WE, **Colby W. Logan, Donald E. Porterfield, Jerry L. Hensley and James W. Pickle**, citizens of the United States, and residing at the addresses as stated below, respectively, have jointly made an invention entitled "**Air Conditioning Apparatus With Blower And Electric Heater In Common Housing**" for which we have filed an application for United States Letters Patent contemporaneously herewith; and we hereby authorize our attorney, authorized to prosecute said application, to here insert the filing date and serial number of said application, when known:

Filed: January 5, 2004

Serial No. 10/751,548 ;

WHEREAS, we represent and warrant that we are the joint owners of said invention and application for Letters Patent;

WHEREAS, **Advanced Distributor Products LLC** (hereinafter referred to as ASSIGNEE), a limited liability company organized under the laws of the State of Delaware, U.S.A., having a place of business at 1995 Air Industrial Park Road, Grenada, MS 38901, is desirous of acquiring the same;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by us, we have assigned, sold, transferred and set over and by these presents do assign, sell, transfer and set over unto said ASSIGNEE the entire right, title and interest in and to (a) said invention and worldwide rights therein; (b) said application, including all divisions, continuations, continuations-in-part and substitutions thereof; and (c) all United States and foreign patents which shall issue on said invention, including all reissues, renewals and extensions thereof, for the United States, its territories and possessions and all foreign countries, including the right to file corresponding applications for Letters Patent on said invention in any and all foreign countries, and to claim priority under any and all treaties and conventions to which the United States of America is signatory, including the Paris Convention for the Protection of Industrial Property for such

corresponding applications, or any division, continuation or substitution thereof, the same to be held and enjoyed by said ASSIGNEE, its assigns and successors, as fully and entirely as the same would have been held and enjoyed by us, had this assignment not been made.

We jointly and severally covenant and agree that we will, at any time upon the request and at the expense of said ASSIGNEE, execute and deliver any and all papers and do all lawful acts that may be necessary or desirable, in the opinion of said ASSIGNEE, to enable and assist said ASSIGNEE to (a) obtain Letters Patent, both domestic and foreign, on said invention; (b) establish, maintain and secure title in said ASSIGNEE, its successors and assigns, to said invention, application and Letters Patent, including making such title of lawful public record; and (c) defend, establish or otherwise preserve the validity of said Letters Patent against any and all infringers, and perform such other acts as are necessary to give full force and effect to this assignment.

We jointly and severally represent and covenant that no assignment, mortgage, sale, license, pledge, encumbrance or alienation of said invention or patent application has been or will be made or entered into which would conflict with this assignment and sale.

We hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent based on said application and each division, continuation, substitution, reissue, renewal and extension thereof to said ASSIGNEE, its successors and assigns.

IN TESTIMONY WHEREOF, we have duly executed this assignment on the date as indicated next to our names.

[This space left blank intentionally.]



Date: 12/17/03

Colby W. Logan  
Colby W. Logan

Residence Address:

1118 Sunset Drive  
Grenada, MS 38901

BEFORE ME, the undersigned authority, on this day personally appeared Colby W. Logan, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL OF OFFICE this 17  
day of December, 2003.

Patricia A. Woods  
Notary Public

(S E A L)

My Commission Expires:  
MY COMMISSION EXPIRES: Jan 27, 2005  
BONDED THIS NOTARY PUBLIC UNDERWRITERS

Date: 12/18/03

Donald E. Porterfield  
Donald E. Porterfield

Residence Address:

8667 Rhodes Lane  
Lascassas, TN 37085

BEFORE ME, the undersigned authority, on this day personally appeared Donald E. Porterfield, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL OF OFFICE this 18  
day of Dec., 2003.

Kathleen B. Barcott  
Notary Public

(S E A L)

My Commission Expires:

12-16-06

Date: 12/18/03

Jerry L. Hensley  
Jerry L. Hensley

Residence Address:

12 Caplenor Lane  
Lebanon, TN 37090

BEFORE ME, the undersigned authority, on this day personally appeared Jerry L. Hensley, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL OF OFFICE this 18  
day of Dec, 2003.

Katrina S. Barnett

Notary Public

(S E A L)

My Commission Expires:

12-16-06

Date: 12-17-03

James W. Pickle  
James W. Pickle

Residence Address:

12009 Hwy 51 N  
Grenada, MS 38901

BEFORE ME, the undersigned authority, on this day personally appeared James W. Pickle, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL OF OFFICE this 17  
day of December, 2003.

Patricia A. Woods  
Notary Public

(S E A L)

My Commission Expires:  
NOTARY PUBLIC STATE OF MISSISSIPPI AT LARGE  
MY COMMISSION EXPIRES: Jan 22, 2005  
BONDED THRU NOTARY PUBLIC UNDERWRITERS